

## Rigidity of Cayley graphs

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Slides available on  
[www.leemann.website/slides/newcastle.pdf](http://www.leemann.website/slides/newcastle.pdf)

## What is it all about

A subject at the intersection of

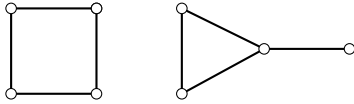
- ▶ Geometric Group Theory;
- ▶ Combinatorics and Graphs;
- ▶ Probability and Random Walks.

## Representation of groups

- ▶ A (classical) representation of a group  $G$  is an homomorphism  $G \rightarrow \text{GL}(V)$ , where  $V$  is a vector space.
- ▶ More generally, one can look at  $G \rightarrow \text{Aut}(X)$ , where  $X$  is a *geometric space with good properties*.
- ▶ In our case, we will look at  $G \cong \text{Aut}(X)$  with  $X$  a graph.

## Graphs

- ▶ A **graph**  $X$  is made of a set  $V$  of vertices and of a set  $E$  of edges.



- ▶ A graph  $X$  is **connected** if for every pair of vertices  $(v, w)$  there is a path from  $v$  to  $w$ .
- ▶ A graph  $X$  is **locally finite** if any vertex has only finitely many adjacent edges.

## A first question

### Question

What are the finitely generated groups  $G$  such that there exists a connected locally finite graph  $X$  with  $G = \text{Aut}(X)$ .

- ▶ All finitely generated groups [Groot (1959) and Sabidussi (1960)].
- ▶ What happens if we put more structure on  $X$ ?

## Regular graphs

### Definition

The action of  $\text{Aut}(X)$  on  $X$  is **regular** if it is free and transitive on the vertices. That is, for every pair of vertices  $(v, w)$  there exists a unique automorphism of  $X$  sending  $v$  to  $w$ .

## Main question

### Question

What are the finitely generated groups  $G$  such that there exists a connected locally finite graph  $X$  with  $G = \text{Aut}(X)$  acting **regularly** on  $X$ .

- ▶ In this case,  $X$  is a Cayley graph of  $G$  [Sabidussi, 1958].
- ▶ Solved for finite groups in the 70' [Imrich, Watkins, Nowitz, Hetzel, Godsil...].
- ▶ Solved for free products of finitely generated groups [Watkins, 1976].
- ▶ Solved [L. - de la Salle] in 2019-2020 for finitely generated infinite groups.

## Cayley graphs

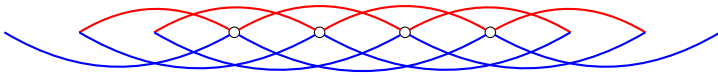
### Definition

Let  $G$  be a group and  $S = S^{-1}$  be a generating set. The corresponding **Cayley graph** is the graph with vertices set  $V = G$  and with, for every  $g \in G$  and  $s \in S$ , an arc, labeled by  $s$ , from  $g$  to  $gs$ .

$$g \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{s^{-1}} \end{array} gs = g \xrightarrow{\{s, s^{-1}\}} gs$$

### Example

- ▶  $\text{Cayl}(\mathbf{Z}, \{\pm 1\}) = \dots \circ \text{---} \circ \text{---} \circ \text{---} \circ \dots$
- ▶  $\text{Cayl}(\mathbf{Z}, \{\pm 2, \pm 3\}) =$



## Cayley graphs

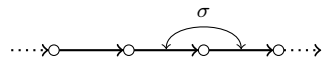
- ▶ Each edge consist of a pair of arcs.
- ▶ Each arc has a **label** ( $s \in S$ ).
- ▶ The **colour** of an edge is the pair of its labels ( $\{s, s^{-1}\} \subset S$ ).

$$\text{Cayl}(\mathbf{Z}, \{\pm 1\}) = \dots \circ \begin{array}{c} \xrightarrow{+1} \\ \xleftarrow{-1} \end{array} \circ \text{---} \circ \text{---} \circ \dots$$

- ▶  $G \curvearrowright \text{Cayl}(G, S)$  by left multiplication.
- ▶ We have

$$G = \text{Aut}_{\text{lab}}(\text{Cayl}(G, S)) \leq \text{Aut}_{\text{col}}(\text{Cayl}(G, S)) \leq \text{Aut}(\text{Cayl}(G, S)).$$

## Example for $\mathbf{Z}$

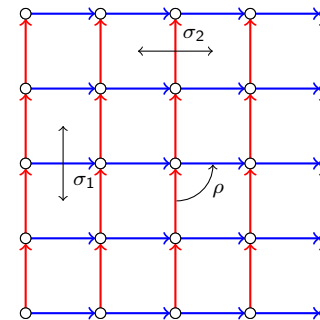


$$\text{Aut}_{\text{lab}}(\text{Cayl}(\mathbf{Z}, S)) = \mathbf{Z}$$

$$\text{Aut}_{\text{col}}(\text{Cayl}(\mathbf{Z}, S)) = \langle \mathbf{Z}, \sigma \rangle = D_{\infty}$$

$$\text{Aut}(\text{Cayl}(\mathbf{Z}, S)) = D_{\infty}$$

## Example for $\mathbf{Z}^2$



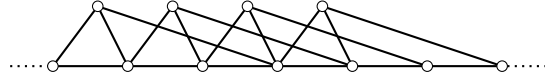
$$\text{Aut}_{\text{lab}}(\text{Cayl}(\mathbf{Z}^2, S)) = \mathbf{Z}^2$$

$$\text{Aut}_{\text{col}}(\text{Cayl}(\mathbf{Z}^2, S)) = \langle \mathbf{Z}^2, \sigma_1, \sigma_2 \rangle$$

$$\text{Aut}(\text{Cayl}(\mathbf{Z}^2, S)) = \langle \mathbf{Z}^2, \sigma_1, \sigma_2, \rho \rangle$$

## A graph with $\text{Aut}(X) = \mathbf{Z}$

We begin with  $X = \text{Cayl}(G, S)$  to which we add decorations in order to *fix the orientation*.



Exercice: find  $X$  with  $\text{Aut}(X) = \mathbf{Z}^2$ .

## Main question (bis repetita)

### Question

What are the finitely generated groups  $G$  such that there exists a finite, symmetric, generating set  $S$  with  $G = \text{Aut}(\text{Cayl}(G, S))$ ?

When  $G = \text{Aut}(\text{Cayl}(G, S))$ , we say that  $\text{Cayl}(G, S)$  is a **graphical regular representation** (GRR) and that  $G$  is **rigid** if there exists such an  $S$ .

## Non-rigid groups

### Fact

If  $G$  is abelian and is not isomorphic to  $(\mathbf{Z}/2\mathbf{Z})^n$ , then it is not rigid. Indeed, the map  $g \mapsto g^{-1}$  is an automorphism of  $\text{Cayl}(G, S)$  for every  $S$ .

$G$  is **generalized dicyclic** if it is not abelian and  $G = A \rtimes \langle x \rangle$  with  $A$  an abelian subgroup,  $x$  of order 4 and  $xax^{-1} = a^{-1}$  for every  $a \in A$ . Example:  $Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}$ .

### Fact

If  $G$  is a generalized dicyclic group, then it is not rigid. The map  $a \mapsto a, xa \mapsto a^{-1}x^{-1}$  is an automorphism of  $\text{Cayl}(G, S)$  for every  $S$ .

### Fact

There exists 13 exceptional groups of order at most 32 that are not rigid (nor in one of the above two infinite families).

## Rigid groups

**Theorem (Imrich, Watkins, Nowitz, Hetzel, Godsil..., 1969-1981)**

Let  $G$  be a finite group. If  $G$  is neither generalized dicyclic, nor abelian (not isomorphic to  $(\mathbf{Z}/2\mathbf{Z})^n$ ) nor one of the 13 exceptional groups, then it is rigid.

- ▶ No unified construction, but a lot of distinct cases;
- ▶ Use strongly the fact that  $G$  is finite (Feit-Thompson, ...).

**Theorem (Watkins, 1976)**

If  $G = G_1 * \dots * G_n$  is a free product of finitely generated groups, then it is rigid.

## Asymptotic

### Theorem (Babai-Godsil, 1982)

*If  $G$  is nilpotent, non-abelian, finite of even order, then asymptotically almost all Cayley graphs of  $G$  are GRR.*

## Main Result

### Theorem (L. - de la Salle, 2019-2020)

*Let  $G$  be a finitely generated infinite group. If  $G$  is neither generalized dicyclic nor abelian, then it is rigid.*

*Moreover, for every finite generating set  $S$ , there exists  $S \subset T$  such that  $\text{Cayl}(G, T)$  is a GRR (with  $|T| \leq f(|S|)$  for some explicit  $f$ ).*

- ▶ A unique common structure for the proof, with only two cases;
- ▶ The proof also works for finite groups with an element of *big* order (depending on  $\text{rank}(G)$ ). In particular, we reobtain that for every  $n$  there exists only finitely many exceptional groups of rank  $n$  (use Zelmanov solution to the restricted Burnside problem).
- ▶ Can be thoughts as a (very) weak form of asymptotic result.

## Main idea

- ▶ Remind:

$$G = \text{Aut}_{\text{lab}}(\text{Cayl}(G, S)) \leq \text{Aut}_{\text{col}}(\text{Cayl}(G, S)) \\ \leq \text{Aut}(\text{Cayl}(G, S)).$$

- ▶ Starting with  $S$ , we will construct  $T$  and check separately that both of the above inequalities are in fact equalities.

## Structure of the proof

### Proposition 1

*Let  $G$  be a group that is neither generalized dicyclic nor abelian (not isomorphic to  $(\mathbf{Z}/2\mathbf{Z})^n$ ). Then for every generating set  $S$ , there exists  $S \subset T$  (finite if  $S$  is finite) such that  $\text{Aut}_{\text{col}}(\text{Cayl}(G, T))$  preserves the  $S$ -labels.*

### Proposition 2

*Let  $G$  be a finitely generated infinite group. Then for every finite generating set  $T$ , there exists  $T \subset U$  finite such that  $\text{Aut}(\text{Cayl}(G, U))$  preserves the  $T$ -colours.*

### Proposition 3

*Let  $S \subset T \subset U$  be as above. Then  $\text{Cayl}(G, U)$  is a GRR for  $G$ .*

## Proof of Proposition 3

Let  $\varphi$  be an element of  $\text{Aut}(\text{Cayl}(G, U))$ . Then  $\varphi$  belongs to  $\text{Aut}_{\text{col}}(\text{Cayl}(G, T))$  by Proposition 2 and thus also to  $\text{Aut}_{\text{lab}}(\text{Cayl}(G, S))$  by Proposition 1. That is, there exists  $g \in G$  such that for every  $h$  we have  $\varphi(h) = gh$ .

Let  $h \xrightarrow{u} hu$  be an arc of  $\text{Aut}(\text{Cayl}(G, U))$ . Then its vertices are sent by  $\varphi$  onto  $gh$  and  $ghu$ . But in  $\text{Aut}(\text{Cayl}(G, U))$  there exists a unique arc from  $gh$  to  $ghu$ , which is also labeled by  $u$ . That is, we have shown that  $\varphi$  is in  $\text{Aut}_{\text{lab}}(\text{Cayl}(G, U))$ .

## Sketch of a proof of Proposition 1

- ▶ Let  $G$  be a group,  $S = S^{-1}$  be a generating set and  $T = (S \cup S^2 \cup S^3) \setminus \{1\}$ .
- ▶ We look at the subgroup  $H$  of  $\text{Aut}_{\text{col}}(\text{Cayl}(G, T))$  consisting of automorphisms fixing the vertices  $1_G$ .
- ▶ These are the bijections  $\varphi: G \rightarrow G$  satisfying

$$\varphi(1) = 1 \text{ et } \forall g \in G, \forall t \in T, \varphi(gt) \in \varphi(g)\{t, t^{-1}\}$$

- ▶ We show that if  $H$  does not fix pointwise  $S$ , then  $G$  is abelian or generalized dicyclic. The proof is mainly combinatorics and the quaternion group  $Q_8$  plays a central role.

## Proof of Proposition 2: Triangles

- ▶ We will use a geometric invariant to distinguish between an edge coloured by  $\{s^{\pm 1}\}$  and an edge coloured by  $\{t^{\pm 1}\}$ : the number of triangles to which they belong.
- ▶ For  $s \in S$ , we denote by  $\text{Tr}(s, S)$  the number of triangles of  $\text{Cayl}(G, S)$  containing the edge  $g \xrightarrow{s^{\pm 1}} gs$  (does not depend on  $g$ ).
- ▶ We always have  $\text{Tr}(s, S) = \text{Tr}(s^{-1}, S)$ .

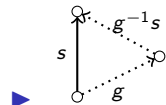
## Proof of Proposition 2

- ▶ Given a finite  $S$ , we will construct  $S \subset T$  finite and such that:
  - ▶ For every  $t \in T \setminus S$  we have  $\text{Tr}(t, T) \leq 6$ ;
  - ▶ For every  $s \in S$  we have  $\text{Tr}(s, T) \geq 7$ ;
  - ▶ For every  $s, s' \in S$  we have  $\text{Tr}(s, T) = \text{Tr}(s', T)$  if and only if  $s' = s$  or  $s' = s^{-1}$ .
- ▶ To do that, we will show a technical lemma that says that we can augment the number of triangles to which belongs  $s_0 \in S$  without augmenting the number of triangles to which belong elements of  $S \setminus \{s_0, s_0^{-1}\}$ .
- ▶ By applying this lemma several times we are done.

## Technical Lemma

Let  $s$  be an element of  $S$ .

- ▶ For every  $g \in G$ , we look at  $S_g = S \cup \{g, g^{-1}, g^{-1}s, s^{-1}g\}$ .



- ▶ We want  $g \in G$  such that:
  - ▶ We augment the number of triangles to which belongs  $s$  ( $\text{Tr}(s, S_g) > \text{Tr}(s, S)$ );
  - ▶  $\text{Tr}(g, S_g) \leq 6$  and  $\text{Tr}(g^{-1}s, S_g) \leq 6$ ;
  - ▶ We do not augment the number of triangles to which belong  $t \in S \setminus \{s, s^{-1}\}$ .
- ▶ This gives us a list of conditions:  $g \notin S$ ,  $s^{-1}g \notin S$ , ...

## An algebraic criterion

At the end we obtain the following criterion:

There exists a finite  $F \subset G$  such that if  $g, s^{-1}g \notin F$  and  $g^2, (s^{-1}g)^2 \notin F$ , then  $S_g$  works.

Let  $\text{sq}: G \rightarrow G, g \mapsto g^2$  be the square map. Then  $\text{sq}^{-1}(F)$  is the subset of elements  $g \in G$  such that  $g^2 \in F$ .

## Dichotomy

For the rest of the proof, we will treat separately two cases:

- ▶  $G$  has an element of infinite order (or of order *sufficiently big*);
- ▶  $G$  is not virtually abelian.

Remind:  $G$  is **virtually abelian** if it contains an abelian subgroup  $H$  of finite index. For example, every finite group is virtually abelian. Moreover, if  $G$  is virtually abelian and finitely generated, then either it is finite or it has an element of infinite order.

## $G$ has an element of infinite order

Let  $g_0 \in G$  be of infinite order.

- ▶ We restrict ourself to elements of  $\langle g_0 \rangle \cong \mathbf{Z} \leq G$ .
- ▶ In  $\mathbf{Z}$ , every element has at most one square root.
- ▶ Therefore, there exists infinitely many  $g$  in  $\langle g_0 \rangle$  such that both  $g, s^{-1}g \notin F$  and  $g^2 \notin F$ .
- ▶ With a little more work, we obtain the desired result, except the fact the when augmenting triangles for  $s$ , we might also augment the triangles for  $s^2$ .
- ▶ If we are careful enough (first apply the lemma to  $s$  and then to  $s^2$ ), this is not a problem.

## $G$ is not virtually abelian

For an arbitrary  $G$  and  $F \subset G$  finite, it may happen that  $\text{sq}^{-1}(F)$  is infinite; it is therefore not possible to use the above strategy without modification.

But, we can show

### Proposition 4

*Let  $G$  be a finitely generated non virtually abelian group. For every  $s \in S$  and every finite  $F \subseteq G$ , the set  $G \setminus (\text{sq}^{-1}(F) \cup s \text{sq}^{-1}(F))$  is infinite.*

### Corollary

*Let  $G$  be a finitely generated non virtually abelian group. For every  $s \in S$  and every finite  $F \subseteq G$ , there exists  $g \in G$  such that*

$$g, s^{-1}g \notin F \quad \text{and} \quad g^2, (s^{-1}g)^2 \notin F.$$

## Proof of Proposition 4

In order to prove Proposition 4, we will use

- ▶ If  $G$  is finitely generated and every element has order at most 2, then  $G$  is finite;
- ▶ A lemma, due to Dicman, about normal subgroups;
- ▶ Random walks on groups, including a result due to Tointon.

## A lemma of Dicman

### Lemma (Dicman)

*Let  $G$  be a group and  $F \subset G$  be a finite subset. If every element of  $F$  has finite order and if  $F$  is invariant by conjugation, then the normal subgroup  $\langle F \rangle^G$  is finite*

## An application of Dicman's lemma

### Corollary

*Let  $G$  be a finitely generated group. Then  $G$  is finite if and only if  $\text{sq}(G)$  is finite.*

### Proof.

Let  $F = \text{sq}(G)$ . This is a subset closed under conjugation. If  $F$  is finite, then it contains only elements of finite order. The group  $G/\langle F \rangle^G$  is finitely generated and all of its elements have order at most 2, it is hence finite. But by Dicman  $\langle F \rangle^G$  is also finite, hence  $G$  itself is finite.  $\square$



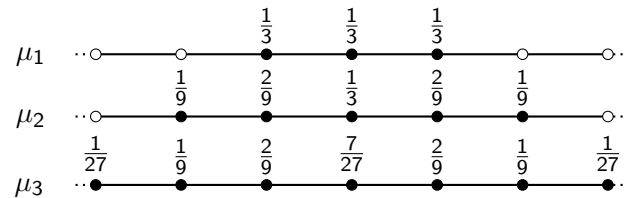
## Random walks on groups

Let  $G$  be a finitely generated group and  $S = S^{-1}$  be a finite generating set containing 1.

Let  $\mu$  be the uniform probability of choosing an element of  $S$  and  $\mu_n = \mu^{*n}$  the corresponding random walk.

### Example

$G = \mathbf{Z}$  et  $S = \{-1, 0, 1\}$



## A theorem of Tointon

### Theorem (Tointon, 2020)

Let  $G$  be a finitely generated group,  $S = S^{-1}$  be a finite generating set containing 1 and  $\mu$  be the uniform probability on  $S$ . Let  $g_n$  and  $h_n$  be two independent realizations of  $\mu_n$ . If  $G$  is not virtually abelian,

$$\lim_{n \rightarrow \infty} \mathbf{P}(g_n \text{ and } h_n \text{ commute}) = 0$$

### Corollary (L.-dS.)

Same hypothesis. If  $G$  is not virtually abelian, then

$$\liminf_{n \rightarrow \infty} \mathbf{P}(g_n^2 = 1) \leq \frac{\sqrt{5} - 1}{2}$$

With more works, we prove Proposition 4.

## Variations on a theme 1

One can ask the question of what happens for directed graphs. For a, non necessarily symmetric, generating set  $S \subset G$ , we define  $\vec{\text{Cayl}}(G, S)$  in an analogous way as  $\text{Cayl}(G, S)$ .

### Question


What are the finitely generated groups  $G$  such that there exists a finite and generating  $S$  with  $G = \text{Aut}(\vec{\text{Cayl}}(G, S))$ ? (DRR)

- ▶ Easier than finding GRR;
- ▶ Every finite groups, with 5 exceptions (Babai, 1980);
- ▶ Every infinite groups, but with  $S$  infinite (Babai, 1980);
- ▶ Every finitely generated infinite groups (L.-dS.).

## Variations on a theme 2

### Question (Babai, 1980)

What are the finitely generated groups  $G$  such that there exists a finite and generating  $S$  with  $S \cap S^{-1} = \emptyset$  and  $G = \text{Aut}(\vec{\text{Cayl}}(G, S))$ ? (ORR)

- ▶ The condition  $S \cap S^{-1} = \emptyset$  says that each edge can be followed in only one direction, i.e. we don't have ;
- ▶ If  $G$  is generalized dihedral ( $G = A \rtimes \mathbf{Z}/2\mathbf{Z}$  with  $A$  abelian), this is not possible. Indeed, in this case every generating set of  $G$  contains an element of order 2.
- ▶ Every finite groups that are not generalized dihedral, with 11 exceptions (Morris-Spiga, 2018).
- ▶ Every finitely generated infinite groups that are not generalized dihedral (L.-dS.).

## Other consequences 1

### Corollary

*Every finitely generated group admits a locally finite Cayley graph with a countable group of automorphisms (equivalently such that the vertex stabilizers are finite).*

This answer a conjecture of dIS. and Tessera (2019).

## Other consequences 1

A graph  $X$  is **LG-rigid** (local to global) if there exists an integer  $r$  such that if  $Y$  is a graph with the same balls of radius  $r$  as  $X$ , then  $X$  covers  $Y$ .

### Corollary

*Every finitely presented group admits a locally finite LG-rigid Cayley graph.*

A group which is not finitely presented does not have LG-rigid Cayley graphs (dIS-Tessera, 2019). In particular, the above corollary gives a new characterization of finitely presented groups.

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